

Small Estates Declaration

Please Note: This is not an instruction form. It is a document we can accept to confirm who the executors/administrators of the estate are, if the full value of the holdings does not exceed £25,000.

This form is for use only in respect of investments held with Legal & General (Unit Trust Managers) Limited and Legal & General (Portfolio Management Services) Limited.

All sections must be completed.

Name of Deceased:

Date of birth:

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Address:

Client Number:

Do not amend this declaration other than where indicated or it will become invalid.

In consideration of Legal & General granting **me/us** administrative rights over the above deceased client's Legal & General investments without production of a Grant of Probate*, **I/we** declare that the statements below are correct to the best of **my/our** knowledge and belief.

1. The full value of the holdings with Legal & General (Unit Trust Managers) Limited or Legal & General (Portfolio Management Services) Limited does not exceed £25,000.
2. There is no inheritance tax or other duty payable out of the estate of the deceased, and all just debts and expenses of the estate will be discharged.
3. **I/We am/are** the executor(s) named in the last will of the deceased or the administrator(s) of the estate and **am/are** the only person(s) entitled to act on behalf of the estate of the deceased.
4. **I/We** attach a certified copy of the will (if any).
5. **I/We** do not intend, nor to the best of **my/our** knowledge does any other person intend to apply for a Grant of Probate in respect of the deceased's estate, and one has not been obtained.
6. If so required by Legal & General, **I/we** undertake to obtain and produce a Grant of Probate in respect of the deceased's estate.
7. **I/We** undertake to procure the completion of such document(s) as Legal & General may request to deal with the deceased's investment(s) in accordance with **my/our** instructions.
8. **I/We** agree to jointly and severally indemnify Legal & General and Northern Trust (being the trustees of the investment(s)), from and against all claims, demands, losses, damages, charges, costs and expenses which may be incurred as a result of dealing with the deceased's estate in accordance with our instructions.
9. **I/We** make this solemn declaration conscientiously believing the same to be true by virtue of the Statutory Declarations Act 1835.

* Grant of Probate: Also referred to as a Grant of Representation, Letters of Administration or, in the case of Scotland, a Certificate of Confirmation.

This form must be executed by all executor(s)/administrator(s) of the deceased's estate in the presence of a witness being a Commissioner for Oaths or a qualified Solicitor who holds a current Practising Certificate in the United Kingdom. The witness must also provide a Company Stamp or Seal for us to accept this document.

Please note that if the account is less than £100 you do not require a witness signature.

As a Solicitor or Commissioner for Oaths, **I/we** confirm that the information below was verified by **me/us** in relation to the executor/administrator. The witness must also provide a Company Stamp or Seal for us to accept this document.

By completing this declaration, all executors agree to Legal & General completing additional verification checks. This may be achieved by using reference agencies to search sources of information relating to each executor. If this fails, Legal & General may need to approach you to obtain documentary evidence of identity before we can accept the Small Estates Declaration.

Executor / Administrator 1

Name:

Date of birth:

Address:

Signature:

Company Stamp/Seal

Witness for Executor / Administrator 1

Name:

Profession:

Address:

Signature:

Law Society Reference

Executor / Administrator 2

Name:

Date of birth:

Address:

Signature:

Company Stamp/Seal

Witness for Executor / Administrator 2

Name:

Profession:

Address:

Signature:

Law Society Reference

Executor / Administrator 3

Name:

Date of birth:

Address:

Signature:

Company Stamp/Seal

Witness for Executor / Administrator 3

Name:

Profession:

Address:

Signature:

Law Society Reference